

# CONFIRMATION OF VERIFICATION OF IDENTITY

## PRIVATE INDIVIDUAL

### INTRODUCTION BY AN FSA-REGULATED FIRM

#### 1. DETAILS OF INDIVIDUAL (see explanatory notes below)

<i>Full name of Customer</i>	
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<b>Current Address</b>		Previous address if individual has changed address in the last three months
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<b>Date of Birth</b>	
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#### 2. CONFIRMATION

I/we confirm that

- (a) the information in section 1 above was obtained by me/us in relation to the customer;
- (b) the evidence I/we have obtained to verify the identity of the customer:

*[tick only one]*

meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG ; or	<input type="checkbox"/>
exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation).	<input type="checkbox"/>

<b>Signed:</b>	
<b>Name:</b>	
<b>Position:</b>	
<b>Date:</b>	

#### 3. DETAILS OF INTRODUCING FIRM (OR SOLE TRADER)

<b>Full Name of Regulated Firm (or Sole Trader):</b>	
<b>FSA Reference Number:</b>	

## **Explanatory notes**

1. A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must also be verified, and a confirmation provided.
2. This form cannot be used to verify the identity of any customer that falls into one of the following categories:
  - a. those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
  - b. those whose identity has not been verified by virtue of the application of a permitted exemption under the Money Laundering Regulations; or
  - c. those whose identity has been verified using the source of funds as evidence.
3. This confirmation must carry an original signature, or an electronic equivalent.

Where the firm collects information additional to the 'standard evidence' as indicated by point 2(b) of the form, this information will need to be submitted to the product provider as part of the application. The additional information will normally consist of some basic 'know your customer' (KYC) data and will usually be required for higher risk transactions.